

Please type a plus sign (+) inside this box ☐

PTO/SB/01 (10-00)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>DECLARATION AND POWER OF ATTORNEY FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)</b>  <input checked="" type="checkbox"/> Declaration Submitted with Initial Filing <input type="checkbox"/> Declaration Submitted after Initial Filing (Surcharge (37 CFR 1.16(e)) required)  <b>OR</b>	Attorney Docket Number	END-5210
	First Named Inventor	Michael Clem <i>et al.</i>
	<b>COMPLETE IF KNOWN</b>	
	Application Number	
	Filing Date	September 29, 2003
	Group Art Unit	
	Examiner Name	

**As a below named inventor, I hereby declare that:**

My residence, mailing address, and citizenship are as stated below next to my name.  
I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**ENDOSCOPIC MUCOSAL RESECTION DEVICE WITH CONDUCTIVE TISSUE STOP**  
(*Title of the Invention*)

the specification of which  
[X] is attached hereto  
  
OR  
[ ] was filed on (MM/DD/YYYY)  as United States Application Number or PCT International Application Number  
 and was amended on (MM/DD/YYYY)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

### DECLARATION - Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.	Filing Date	Status
		Pending

I hereby appoint:

☒ Practitioners at Customer Number **000027777** →

Place Customer  
Number Bar Code  
Label Here

AND

☐ Practitioner(s) named below:  
Name Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Address all telephone calls to Gerry S. Gressel at telephone number (513) 337-3535.

Direct all correspondence to: ☒ Customer Number **000027777** OR ☐ Correspondence address below

Name:

Address:

Address:

City:

State:

ZIP

Country USA

Telephone: (513) 337-3535

Fax: (513) 337-8489

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name Rudolph  
(first and middle [if any])

Family Name Nobis  
or Surname

Inventor's  
Signature



September 2003

Date 9/26/03

Residence: City Mason

State Ohio

Country USA

Citizenship USA

Mailing Address 4594 Atrium Court, Mason, OH 45040

City Mason

State Ohio

ZIP 45040

Country USA

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

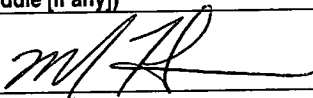
NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name Michael  
(first and middle [if any])

Family Name Clem  
or Surname

Inventor's  
Signature



September 2003

Date 9-26-03

Residence: City Maineville

State Ohio

Country USA

Citizenship USA

Mailing Address 1262 Avalon Drive, Maineville, OH 45039

City Maineville

State Ohio

ZIP 45039

Country USA

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

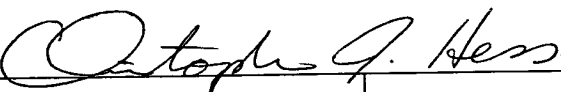
NAME OF THIRD INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name Christopher J.  
(first and middle [if any])

Family Name Hess  
or Surname

Inventor's  
Signature



September 2003

Date 9-26-03

Residence: City Cincinnati,

State Ohio

Country USA

Citizenship USA

Mailing Address 1704 E. McMillan, Cincinnati, OH 45206

City Cincinnati,

State Ohio

ZIP 45069

Country USA

ASSIGNMENT

Serial No.

Filed

September 29, 2003

WHEREAS, Rudolph Nobis, citizen of the United States of America, residing at 4594 Atrium Court, Mason, OH 45040; Michael Clem, citizen of the United States of America, residing at 1262 Avalon Drive, Maineville, OH 45039; Christopher Hess, citizen of the United States of America, residing at 1704 E. McMillan, Cincinnati, OH 45206; (hereinafter called "Assignors"), have made certain new and useful inventions or discoveries relating to

**ENDOSCOPIC MUCOSAL RESECTION DEVICE WITH CONDUCTIVE TISSUE STOP**

for which they have this day executed an application for Letters Patent of the United States; and

WHEREAS, Ethicon Endo-Surgery, Inc., a corporation formed under the laws of the State of Ohio, (hereinafter called "Assignee"), is desirous of acquiring Assignors' entire right, title, and interest therein:

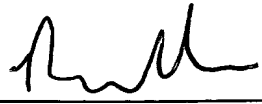
NOW, THEREFORE, BE IT KNOWN that for and in consideration of the sum of One Dollar and other valuable considerations to them moving, the receipt of which is hereby acknowledged, Assignors have sold, assigned, and transferred, and do hereby sell, assign and transfer unto said Assignee their entire right, title and interest in and to all said inventions and discoveries disclosed in said application whose identification above by serial number and filing date, when available is hereby authorized, and in and to said application, all substitutions, divisions, and continuations thereof, and in and to all Letters Patent, United States and foreign, that may be granted for said inventions and discoveries, and in and to all extensions, renewals, and reissues thereof, the same to be held and enjoyed by said Assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by Assignors if this Assignment and sale had not been made;

And Assignors hereby authorize and request the Commissioner of Patents of the United States to issue said Letters Patent in accordance with this Assignment;

And for the consideration aforesaid, Assignors covenant and agree with said Assignee that he has a full and unencumbered title to the inventions and discoveries above described and hereby assigned, which title they warrant unto said Assignee, its successors and assigns;

And for the consideration aforesaid, Assignors further covenant and agree that they will, whenever requested, but without cost to them promptly communicate to said Assignee or its representatives any facts known to them relating to said inventions and discoveries, testify in any interference or legal proceedings involving said inventions and discoveries, and execute any additional papers that may be necessary to enable said Assignee or its representatives, successors, nominees, or assigns to secure full and complete protection for the said inventions and discoveries or that may be necessary to vest in said Assignee the complete title to the said inventions and discoveries and patents hereby conveyed and to enable it to record said title.

IN TESTIMONY WHEREOF, Assignor has hereunto set his hand and seal this  
26 day of September, 2003.

  
\_\_\_\_\_  
Rudolph Nobis (L.S.)

STATE OF: Ohio )  
COUNTY OF: Hamilton ) ss.

BE IT REMEMBERED, That on this 26 day of September, 2003, before me, a Notary Public, personally appeared Rudolph Nobis, who I am satisfied is the person named in and who executed the foregoing instrument in my presence, and I having first made known to him the contents thereof, he did acknowledge that he signed, sealed, and delivered the same as his voluntary act and deed for the uses and purposes therein expressed.

  
\_\_\_\_\_  
Notary Public (L.S.)

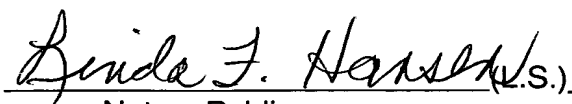
LINDA F. HANSEN  
NOTARY PUBLIC, STATE OF OHIO  
My Commission Expires 07-11-08

IN TESTIMONY WHEREOF, Assignor has hereunto set his hand and seal this  
26 day of September, 2003.

  
\_\_\_\_\_  
Michael Clem (L.S.)

STATE OF: Ohio )  
COUNTY OF: Hamilton ) ss.

BE IT REMEMBERED, That on this 26<sup>th</sup> day of September, 2003, before me, a Notary Public, personally appeared Michael Clem, who I am satisfied is the person named in and who executed the foregoing instrument in my presence, and I having first made known to him the contents thereof, he did acknowledge that he signed, sealed, and delivered the same as his voluntary act and deed for the uses and purposes therein expressed.

  
\_\_\_\_\_  
Notary Public (L.S.)

LINDA F. HANSEN  
NOTARY PUBLIC, STATE OF OHIO  
My Commission Expires 07-11-08

IN TESTIMONY WHEREOF, Assignor has hereunto set his hand and seal this  
26 day of September, 2003.

 (L.S.)  
Christopher J. Hess

STATE OF:           Ohio           )  
  ) ss.  
COUNTY OF:       Hamilton       )

BE IT REMEMBERED, That on this 26 day of September, 2003, before me, a Notary Public, personally appeared Christopher J. Hess, who I am satisfied is the person named in and who executed the foregoing instrument in my presence, and I having first made known to him the contents thereof, he did acknowledge that he signed, sealed, and delivered the same as his voluntary act and deed for the uses and purposes therein expressed.

 (L.S.)  
Notary Public

LINDA F. HANSEN  
NOTARY PUBLIC, STATE OF OHIO  
My Commission Expires 07-11-08